A Tool for Signal Synthesis & Analysis

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Outline

- POCS: What is it?
 - Convex Sets
 - Projections
 - POCS
- Applications
 - The Papoulis-Gerchberg Algorithm
 - Neural Network Associative Memory
 - Resolution at sub-pixel levels
 - Radiation Oncology / Tomography
 - JPG / MPEG repair
 - Missing Sensors
- Generalized Alternating Projections
 - Ambiguity Function Synthesis
 - The Gerchberg-Saxton Algorithm

POCS: What is a convex set?

In a vector space, a set *C* is convex iff $\forall \vec{x} \in C$ and $\vec{y} \in C$, $\Rightarrow \lambda \vec{x} + (1 - \lambda) \vec{y} \in C \quad \forall \quad 0 \le \lambda \le 1$

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 $\lambda = 1$

 \vec{x} c

 $\lambda = 0$





POCS: Example convex sets

• **Bounded Signals** – a box

$$C = \{x[n] | 0 \le x[n] \le u\}; n = 1,2,3$$



POCS: Example convex sets

• **Bounded Signals** – a box

$$C = \{x[n] \mid 0 \le x[n] \le u\}; n = 1,2,3$$



• **Bounded Signals** – a box

$$C = \left\{ x(t) \middle| \ell \leq x(t) \leq u \right\}$$



If $\ell \leq x(t) \leq u$ and $\ell \leq y(t) \leq u$, then, if $0 \leq \lambda \leq 1$ $\ell \leq \lambda x(t) + (1 - \lambda) y(t) \leq u$.



• Identical Middles – a plane

$$C = \{x[n] | x[3] \equiv 1\}, n = 1, 2, 3$$



• Identical Middles – a plane

 $C = \{x[n] | x[3] = 1\}, n = 1, 2, 3$



• Identical Middles – a plane

$$C = \left\{ x(t) \middle| x(t) \equiv c(t) ; t \in \mathfrak{I} \right\}$$





$$C = \{ x[n] \mid x[1] + x[2] + x[3] = A \}$$



$$C = \{ x[n] \mid x[1] + x[2] + x[3] = A \}$$



$$C = \left\{ \begin{array}{c} x(t) \middle| \int_{-T}^{T} x(t) = A \right\}$$

$$\left[\lambda x(t) + (1 - \lambda) y(t)\right] dt = \lambda A + (1 - \lambda) A = A$$

7

POCS: Example convex sets in a Hilbert space Bounded Energy Signals – a ball

$$C = \left\{ x[n] \, | \, x^2[1] + x^2[2] + x^2[3] \le E \right\}$$

POCS: Example convex sets in a Hilbert space • **Bounded Energy Signals** – a ball $C = \left\{ x[n] | x^{2}[1] + x^{2}[2] + x^{2}[3] \le E \right\}$ x[2]x[1]2Ex[3]

POCS: Example convex sets in a Hilbert space • **Bounded Energy Signals** – a ball $C = \{ x(t) | || x(t) ||^2 \le E \}$ $||x(t)||^{2} = \int_{-\infty}^{\infty} |x(t)|^{2} dt$ 2E

• **Bounded Energy Signals** – a ball

$$\| \lambda x(t) + (1 - \lambda) y(t) \|^{2} = \int_{-\infty}^{\infty} |\lambda x(t) + (1 - \lambda) y(t)|^{2} dt$$

Triangle Inequality

$$\leq \lambda^{2} \int_{-\infty}^{\infty} |x(t)|^{2} dt + (1 - \lambda)^{2} \int_{-\infty}^{\infty} |y(t)|^{2} dt$$

$$\leq [\lambda^{2} + (1 - \lambda)^{2}] E \leq E$$

• **Bandlimited Signals** – a plane (subspace)

$$C = \{ x(t) | X(u) \equiv 0 ; u > B \}$$

B =bandwidth

If x(t) is bandlimited and y(t) is bandlimited, then so is

 $z(t) = \lambda x(t) + (1 - \lambda) y(t)$

Tomographic Projections

$$C_{P} = \left\{ f(x, y) \middle| \iint_{P} f(x, y) dx dy = p \right\}$$



POCS: Example convex sets in a Hilbert space Identical Tomographic Projections – a plane





POCS: Example convex sets in a Hilbert space Identical Tomographic Projections – a plane



- For every (closed) convex set, *C*, and every vector, *x*, in a Hilbert space, there is a *unique* vector in *C*, closest to *x*.
- This vector, denoted $P_C x$, is the *projection* of x onto C:

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C

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Projections are *idempotent*.

POCS: Example projections

• **Bounded Signals** – a box



POCS: Example projections

• **Bounded Signals** – a box



Example Projections Bounded Signals – a box

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Example Projections
Bounded Signals – a box

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Example Projections
Bounded Signals – a box

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Example Projections Identical Middles – a plane








Example Projections
Constant Area Signals
– a plane (linear variety)

Same value added to each element.

y(t)

<u>(</u>

 $P_C y(t)$

$$P_C \ \vec{y} = \vec{y} - \frac{A_{\vec{y}} - A}{\text{Interval}}$$

Water Analogy





POCS: Example Projections

Tomographic Projections

$$C_{P} = \left\{ f(x, y) \middle| \iint_{P} f(x, y) dx dy = p \right\}$$



 \checkmark Should sum to p

POCS: Example Projections

Tomographic Projections

$$C_{P} = \left\{ f(x, y) \middle| \iint_{P} f(x, y) dx dy = p \right\}$$



Raise (or lower) the water on the candidate image until the sum is *p* along the line *P*.







The intersection of convex sets is convex



The intersection of convex sets is convex



Alternating POCS will converge to a point common to both sets

 C_1

 \mathcal{C}_{2}



Alternating POCS will converge to a point common to both sets

 C_1



The Fixed Point is generally dependent on initialization















Lemma 1: Alternating POCS among *N* convex sets with a non-empty intersection will converge to a point common to all.

 C_1

 C_{3}

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 C_1

 C_{3}

 $C_1 \wedge C_2$

 C_2

 C_1

Consider two or more intersecting hyperplanes. (e.g. identical middles, constant area, bandlimited) and an external signal \vec{y}



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Alternating projections will converge to that point.



Consider two or more intersecting hyperplanes. (e.g. identical middles, constant area, bandlimited) and an external signal \vec{y}

There is a unique point in the intersection that is closest to \vec{y}

Alternating projections will converge to that point. Lemma 2: Alternating POCS among 2 nonintersecting convex sets will converge to the point in each set closest to the other.

 C_1

Limit Cycle

Lemma 3: Alternating POCS among 3 or more convex sets with an empty intersection will converge to a limit cycle.

 C_3

C,

$1 \rightarrow 2 \rightarrow 3$ Limit Cycle

Lemma 3: Alternating POCS among 3 or more convex sets with an empty intersection will converge to a limit cycle. Different projection operations can produce different limit cycles.

 \mathbb{C}_{2}

 $1 \rightarrow 2 \rightarrow 3 \text{ Limit Cycle} \\ 3 \rightarrow 2 \rightarrow 1 \text{ Limit Cycle}$

Lemma 3: (cont) POCS with non-empty intersection.



Lemma 3: (cont) POCS with non-empty intersection.

 C_3

 C_1



 C_{γ}

Simultaneous Weighted Projections



Simultaneous Weighted Projections



Simultaneous Weighted Projections

 γ

 C_1

 C_3

 C_2

- Take Projections
 Average
- 3. Repeat
Simultaneous Weighted Projections

 γ

 C_1

 C_3

 C_2

- Take Projections
 Average
- 3. Repeat

Simultaneous Weighted Projections

 C_3

 C_{2}

$$P_S \vec{x} := \sum_{n=1}^N w_n P_n \vec{x}$$

- Can also use weighted average
- Average uses
 w_n = ¹/_N
 Gives Minimum
 Mean Square
 Error Solution

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• Restoration of lost data in a band limited function:



Convex Sets: (1) Identical Tails and (2) Bandlimited.





Problem: Ill-posed. Ill-conditioned





Problem: Ill-posed. Ill-conditioned

Example: Sum of forces =0. Therefore no motion (?)





Problem: noise

	0.9562	0.6889	1.0230	0.4380	0.8832
	0.6889	0.6044	0.9112	0.2860	0.7146
$\mathbf{A} =$	1.0230	0.9112	1.3752	0.4210	1.0710
	0.4380	0.2860	0.4210	0.2087	0.3832
	0.8832	0.7146	1.0710	0.3832	0.8725

	0.8267	1.5639	-0.5038	-0.5633	-1.2519	
	0.5816	1.1744	-2.0727	-2.4462	2.0681	
$\mathbf{A}^{-1} = 10^8 \times$	0.0314	-0.8723	0.9632	0.5381	-0.7361	
	-0.5853	-2.1954	0.3746	-0.3287	2.0751	
	-1.0947	-0.5101	0.8607	2.0575	-0.4342	

close to singular







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Application: Neural Network Associative Memory Placing the Library in Hilbert Space



Application: Neural Network Associative Memory Associative Recall



Neural Network Associative Memory Associative Recall by POCS

Column Space

← Identical Pixels

Neural Network Associative Memory Associative Recall by POCS

Column Space

Identical (known) Pixels

Application: Neural Network Associative Memory Example



Application: Neural Network Associative Memory Example



A Library of Mathematicians



Jean Baptiste Joseph Fourier

(1768-1830)



Plerre-Simon

Laplace

(1742-1827)

Guillaume François Antoine

Marquis de L'Hôpital

(1822-1901)





(1452-1519)



(1623-1662)

Brook Taylor

(1685-1731)





Georg Friedrich Bernhard

Riemann

(1826-1866)

Pafnuty Lvovich

Chebyshev

(1821-1894)

John Napler

(1550-1617)



Andrei Andreyevich Markov (1856-1922)

David Hilbert (1862-1943)

Joseph von

Fraunhofer

(1787-1826)





Polsson (1781-1840)



Friedrich Wilheim

Bessel (1784-1846)



Bernoulli (1700-1782) (1654-1705)



Nicolaus (II) Bernoulli (1695-1726)

Joseph-Louis

Lagrange

(1736-1813)

Pythagoras

(569 BC-475 BC)

Johann Carl

Friedrich Gauss

(1777-1855)



Michael

Faraday

(1791-1867)

Augustin

Jean Fresnel

(1788-1827)



Abraham de

Molvre

(1667-1754)

Niels Henrik Abel Baves (1802-1829)

Rev. Thomas (1702-1761)

Adrien-Marie Legendre (1752-1833)

Henri Léon Charles Hermite Lesbegue (1822-1901) (1875-1941)

James Clerk

Maxwell

(1831-1879)

Carl Gustav Jacob Jacobi (1804-1851)

Benjamin Franklin (1706-17901)

William Thomson (Lord Kelvin) (1824-1907)



Samaso Pareto (1848-1923)

Vilfredo Federigo



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(1667-1754)

Niels Henrik Abel (1802-1829)

(1707-1783)

Brook Taylor

(1685-1731)

Rev. Thomas Baves (1702-1761)

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Georg Friedrich Bernhard

Riemann

(1826-1866)

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Henri Léon Lesbeque (1875-1941)



Carl Gustay Jacob Jacobi

Benjamin Franklin (1706-17901)

What does the Average Mathematician Look Like?

Michael

Faraday

(1791-1867)



Convergence As a Function of Percent of Known Image



Convergence As a Function of Library Size



Convergence As a Function of Noise Level



Combining Euler & Hermite







Combining Euler & Hermite



Not Of This Library



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Combining Low Resolution Sub-Pixel Shifted Images

http://www.stecf.org/newsletter/stecf-nl-22/adorf/adorf.html Hans-Martin Adorf

Problem: Multiple Images of Galazy Clusters with subpixel shifts.



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Problem: Multiple Images of Galazy Clusters with subpixel shifts.

POCS Solution:

- Sets of high resolution images giving rise to lower resolution images.
- 2. Positivity
- 3. Resolution Limit



Combining Low Resolution Sub-Pixel Shifted Images

Hans-Martin Adorf

Problem: Multiple Images of Galazy **Clusters** with subpixel shifts.

POCS Solution:

- 1. Sets of high resolution images giving rise to lower resolution images.
- Positivity 2.
- 3. Resolution Limit





1600 ma

Application: **Subpixel Resolution** using the world's worst camera

•Object is imaged with an aperture covering a large area. The average (or sum) of the object is measured as a single number over the aperture. •The set of all images with this average value at this location is a convex set. •The convex set is constant area.



Application: Subpixel Resolution



50,000

100,000

500,000

Randomly chosen 8x8 pixel blocks. Over 6 Million projections.

Application: Subpixel Resolution



Slow (7:41) Fast (3:00) Faster (1:30) Fastest (0:56)

Randomly chosen 8x8 pixel blocks. Over 6 Million projections.

Application: Subpixel Resolution



<u>Slow</u> (0.58) <u>Fast</u> (0:29) <u>Faster</u> (0:14)

Blocks of random dimension <33 chosen at random locations. 2.7 Million blocks.

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Application: **Tomography** Same procedure as subpixel resolution.



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Application: **Tomography** Same procedure as subpixel resolution.



Tomography Same procedure as subpixel resolution.



<u>Slow</u> (0.19) <u>Fast</u> (0:09)

102k iterations in ~log time

Tomography Same procedure as subpixel resolution.

<u>Slow</u> (0.43) <u>Fast</u> (0:21)

409k iterations in ~log time

Application:

Radiation Oncology

Intensity Modulated Radiotherapy

Convex sets for dosage optimization

 C_N = Set of beam patterns giving dosage in N less than $T_{N.}$

 C_C = Set of beam patterns giving dosage in C less than $T_C < T_{N.}$

 C_T = Set of beam patterns giving dosage in *T* between T_{Low} and T_{hi} (no cold spots or hot spots).

 C_C = Set of nonnegative beam patterns.

Example POCS Solution

Example POCS Solution

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Application

Block Loss Recovery Techniques for Image and Video Communications Transmission & Error

- During jpg or mpg transmission, some packets may be lost due to bit error, congestion of network, noise burst, or other reasons.
- Assumption: No Automatic Retransmission Request (ARQ)

Application

Block Loss Recovery Techniques for Image and Video Communications Transmission & Error

- During jpg or mpg transmission, some packets may be lost due to bit error, congestion of network, noise burst, or other reasons.
- Assumption: No Automatic Retransmission Request (ARQ)

Missing 8x8block of pixels

Application Projections based Block Recovery – Algorithm

Edge orientation detection

POCS using Three Convex Sets

Application

- Recovery vectors are extracted to restore missing pixels.
- Two positions of recovery vectors are possible according to the edge orientation.

Vertical line dominating area

Horizontal line dominating area

- Recovery vectors consist of known pixels(white color) and missing pixels(gray color).
- The number of recovery vectors, \mathbf{r}_k , is 2.

Application Forming a Convex Constraint Using Surrounding Vectors

 Surrounding Vectors, s_k, are extracted from surrounding area of a missing block by an N x N window.

 Each vector has its own spatial and spectral characteristic.

The number of surrounding vectors, s_k, is 8N.

Application Projections based Block Recovery – Projection operator P₁

<section-header>

 $P_1 r$

r

Convex Cone Hull

Application **Projection Operator** P_3 *Smoothness Constraint*

Convex set C₃ acts as a convex constraint between missing pixels and adjacent known pixels, (f_{N-1} f_N). The rms difference between the columns is constrained to lie below a threshold.

$$g = \{(f_{N-1,0} - f_{N,0}), \dots, (f_{N-1,N} - f_{N,N})\}$$

Application Simulation Results – Test Data and Error

Peak Signal to Noise Ratio

 $N \cdot M \cdot 255^2$ $PSNR = 10 \cdot \log$ N M $\sum_{i=1}^{n} \sum_{j=1}^{n} |x(i,j) - \hat{x}(i,j)|^2$

Original Image

Test Image

Hemami, PSNR = 31.86 dB

Ziad, PSNR = 31.57 dB

POCS, PSNR = 34.65 dB

Simulation Results – Each Step Lena 8 x 8 block loss

Simulation Results – Peppers, 8 x 8 block loss

Original Image

Test Image

Simulation Results – Peppers, 8 x 8 block loss

Hemami, PSNR = 31.83 dB

Simulation Results – Peppers, 8 x 8 block loss

POCS, PSNR = 34.20 dB

Simulation Results – PSNR (8 x 8)

	Lena	Masqrd	Peppers	Boat	Elaine	Couple
Ancis	28.68	25.47	27.92	26.33	29.84	28.24
Sun	29.99	27.25	29.97	27.36	30.95	28.45
Park	31.26	27.91	31.71	28.77	32.96	30.04
Hemami	31.86	27.65	31.83	29.36	32.07	30.31
Ziad	31.57	27.94	32.76	30.11	31.92	30.99
POCS	34.65	29.87	34.20	30.78	34.63	31.49

Simulation Results – Masquerade, 8 x one row block loss

Original Image

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Test Image

Simulation Results – Masquerade, 8 x one row block loss

Hemami, PSNR = 23.10 dB

POCS, PSNR = 25.09 dB

Interpolation based Coding – Result 1

JPEG Coding PSNR = 32.27 dB Size = 0.30 BPP = 9,902 Byte

I-based Coding PSNR = 32.35 dB Size = 0.29 BPP = 9,634 Byte

Interpolation based Coding – Result 2

JPEG Coding PSNR = 32.27 dB Size = 0.30 BPP = 9,902 Byte

I-based Coding PSNR = 32.37 dB Size = 0.27 BPP = 9,570 Byte

Temporal Block Loss Recovery

In video coding (e, g, MPEG), temporal recovery is more effective.

Simulation Results – Flower Garden

Original Sequence

Test Sequence
Simulation Results – Flower Garden





Zero Motion Vector, PSNR = 16.15 dB Average of Surrounding Motion Vectors, PSNR = 18.64 dB

Simulation Results – Flower Garden



Motion Flow Interpolation (1999), PSNR = 19.29 dB

Boundary Matching Algorithm (1993), PSNR = 19.83 dB

Simulation Results – Flower Garden





Decoder Motion Vector Estimation (2000), PSNR = 19.21 dB POCS Based, PSNR = 20.71 dB

Simulation Results – Foreman



Original Sequence



Test Sequence



Zero Motion Vector PSNR = 24.71 dB



Average of Surrounding Motion Vectors PSNR = 26.22 dB

Simulation Results – Foreman



Motion Flow Interpolation (1999) PSNR = 27.09 dB



Decoder Motion Vector Estimation (2000), PSNR = 27.46 dB



Boundary Matching Algorithm (1993), PSNR = 28.76 dB



POCS Based PSNR = 29.82 dB

Simulation Results – Average PSNR

	Garden	Tennis	Football	Mobile	Foreman
MV	16.15	22.40	18.06	17.49	24.71
AV	18.64	21.98	18.72	19.03	26.22
BMA	19.83	23.55	19.41	19.75	28.76
DMVE	19.88	24.04	19.64	20.02	28.77
MFI	19.29	22.77	19.29	19.60	27.09
F-B BM	19.21	22.49	19.05	19.59	27.46
Proposed	20.71	24.52	20.32	20.66	29.82

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Idea:

- A collection of spatially distributed point sensors.
- Their readings are interrelated (e.g. temperature sensors in a room).



Application **Missing Sensors** • A plurality of sensors fail. Can the failed sensor readings be regained from those remaining without use of models? Applications: (1) Power Security Assessment (2) **Engine Vibration Sensors**



 Step 1: Learn the interrelationship among the sensors by training an auto-encoder neural network with historical data.

• The mapping of a properly trained neural network is a projection.



 Step 2: Impose the second convex constraint of known sensor values. If POCS, convergence is assured

• Example: Vibration Sensors on a Jet Engine



Application

Missing Sensors Four Sensors – One Missing

Original Sensor Data



Application Missing Sensors Four Sensors – Two Missing



Application

Missing Sensors Eight Sensors – Two Missing

(Magnitude Only)



Outline

- POCS: What is it?
 - Convex Sets
 - Projections
 - POCS
- Applications
 - The Papoulis-Gerchberg Algorithm
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 - Resolution at sub-pixel levels
 - Radiation Oncology / Tomography
 - JPG / MPEG repair
 - Missing Sensors
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 - Ambiguity Function Synthesis
 - The Gerchberg-Saxton Algorithm

Ambiguity Function Signal Synthesis

Woodward's Ambiguity Function $\chi(\tau, u) = \int_t x(t) x^* (t - \tau) e^{-j2\pi u t} dt$

There exists an inherent trade-off in the ability of a signal to accurately measure both the range (determined by delay τ) and velocity (measured from Doppler shift u) of a target. Woodward's ambiguity function measures this uncertainty for narrowband RF signals for monostatic radar



Ambiguity Function Signal Synthesis

Synthesized Signal Constraints:

- **1.** Limited Peak-to-average-power ratio (PAPR)
- 2. Spectral mask constraint
- **3. Targeted ambiguity function**





Ambiguity Function Signal Synthesis



$$\chi(\tau, u) = \int_{t} x(t) x^{*}(t - \tau) e^{-j2\pi u t} dt$$
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Dylan Eustice, Charles Baylis and Robert J. Marks II, "Woodward's Ambiguity Function: From Foundations to <u>Applications</u>," 2015 IEEE Texas Symposium on Wireless and Microwave Circuits and Systems (WMCS), April 23-24, 2015. Waco, Texas (pp. 1-17). DOI: 10.1109/WMCaS.2015.7233208 Dylan Eustice, Charles Baylis, Lawrence Cohen, and Robert J. Marks II. "<u>Waveform synthesis via alternating</u> <u>projections with ambiguity function, peak-to-average</u> <u>power ratio, and spectrum requirements</u>." 2016 IEEE Radio and Wireless Symposium (RWS), pp. 190-192. IEEE, 2016. DOI: 10.1109/RWS.2016.7444401

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 $x(t_1,t_2)$ $\sim X(u_1, u_2)$ Fraunhofer (far field) diffraction $X(u_1, u_2) = |X|e^{j \angle X}$ $x(t_1, t_2) = |x|e^{j \angle x}$ \mathcal{F}



$$x(t_{1}, t_{2})$$

$$x(t_{1}, t_{2})$$

$$x(t_{1}, t_{2}) = |x|e^{j\angle x}$$

$$F$$

$$x(t_{1}, t_{2}) = |x|e^{j\angle x}$$

$$F$$

$$X(u_{1}, u_{2}) = |X|e^{j\angle x}$$

$$x(u_{1}, u_{2}) = |X|e^{j\angle x}$$
Square Law Detector measures $|x|$ and $|X|$.
$$x(t_{1}, t_{2}) = |x|e^{i\angle x}$$

$$x(u_{1}, u_{2}) = |X|e^{j\angle x}$$

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Example: Sad to Happy







Final Comments

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- R.J. Marks II, Handbook of Fourier Analysis and Its Applications, Oxford University Press, (2009).
- http://robertmarks.org/REPRINTS/Marks-Pubs.htm
- The Papoulis-Gerchberg Algorithm
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HANDBOOK OF Fourier Analysis & Its Applications

Robert J. Marks II

